

**SOLANO COMMUNITY COLLEGE DISTRICT
QUALIFICATION TEMPLATE
DESIGN-BUILD ENTITIES**

This standard prequalification template should be completed by design-build entities or design-build teams seeking to qualify for a Solano Community College District ("District") design-build project in accordance with Education Code section 81703.

As used in here:

- "DBE" refers both design-build entities and design-build teams.
- "Member" refers to individuals or entities identified as members of the design-build team, including the general contractor and, if utilized in the design of the project, all electrical, mechanical, and plumbing contractors.
- "Associates" refers to all current officers, owners, and/or partners of DBE and of any Member.

Wherever additional space is needed to answer a question fully and accurately, attach additional copies of the template pages and/or additional signed sheets as needed.

I. BUSINESS INFORMATION

A. Contact Information (Not Scored)

1. DBE Name: _____
2. Primary contact Person: _____
3. Principal office address: _____
4. Phone: _____
5. Fax: _____
6. Email: _____

B. Form of Organization (Not Scored)

1. If the DBE or any Member is a **corporation**:
 - a. Date incorporated: _____
 - b. Under laws of what state: _____

- c. If a privately held corporation, list all shareholders who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of incorporation.

2. If the DBE or any Member is a **limited liability company**:

- a. Date formed: _____
- b. Under laws of what state: _____
- c. List all LLC members who will perform work on the project:

Name	Ownership Percentage

- d. Attach a copy of the articles of organization.

3. If the DBE or any Member is a **partnership**:

- a. Date formed: _____
- b. Under laws of what state: _____

c. List all partners who will perform work on the project:

Name	Ownership Percentage

d. Attach a copy of the partnership agreement.

4. If the DBE or any Member is a **joint venture**:

a. Date formed: _____

b. Under laws of what state: _____

c. List all joint venture members who will perform work on the project:

Name	Ownership Percentage

d. Attach a copy of the joint venture agreement.

5. If the DBE or any Member is a **sole proprietorship**:

a. Date formed: _____

b. Under laws of what state: _____

c. List owner: _____

d. Attach a copy of organizational documents, if any.

C. Financial Capacity (Pass/Fail)

1. Attach an audited financial statement with accompanying notes and supplemental information for the past 2 full fiscal years for DBE and each entity Member (not individual Members). A letter verifying availability of a line of credit may also be attached; however, it will be considered supplemental information only, and is not a substitute for the required financial statement.
2. Is DBE or any Member currently, or has DBE or any Member within the last 5 years been, the debtor in a bankruptcy case?

___ Yes ___ No

If "yes," please attach a copy of the bankruptcy petition and a copy of the bankruptcy court's discharge or any other document that ended the case, if any.

II. LICENSING AND REGISTRATION

A. General Contractor

1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): _____ (Pass/Fail)
2. License classification(s): _____ (Pass/Fail)
3. License #: _____ (Not Scored)
4. Issue Date: _____ (Not Scored)
5. Expiration Date: _____ (Not Scored)
6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): _____ (Pass/Fail)
7. Has any CSLB license held by the general contractor or its qualifying individual been suspended or revoked within the last 5 years? (0 – 5 Points)

___ Yes ___ No

If "yes," explain on a separate signed sheet.

8. Has the general contractor changed names or license numbers within the past 5 years? (Not Scored)

___ Yes ___ No

If "yes," explain on a separate signed sheet.

9. How many years has your organization been in business in California as a contractor under your present business name and license number? (0 – 5 Points)

B. Architect of Record

The architect of record is the architect whose stamp will appear on project documents.

1. Name of license holder exactly as on file with the California Architects Board ("CAB"): _____ (Pass/Fail)
2. License #: _____ (Not Scored)
3. Issue Date: _____ (Not Scored)
4. Expiration Date: _____ (Not Scored)
5. Has any CAB license held by the architect of record been suspended or revoked within the last 5 years? (0 – 5 Points)
 Yes No

If "yes," explain on a separate signed sheet.

6. Has the architect of record changed names or license numbers within the past 5 years? (Not Scored)
 Yes No

If "yes," explain on a separate signed sheet.

7. How many years has your organization been in business in California as an architect under your present business name and license number? (0 – 5 Points)

C. Engineer(s)

Engineering services will be dictated by the nature of the project. The DBE should respond for all "in house" engineers that will provide services on the project. If relevant, use additional signed sheets to respond for multiple engineering disciplines.

1. Name of license holder exactly as on file with the Board of Professional Engineers, Land Surveyors, and Geologists ("BPELSG"): _____ (Pass/Fail)
2. License Type: _____ (Not Scored)
3. Licenses #: _____ (Not Scored)
4. Issue Date: _____ (Not Scored)
5. Has any BPELSG license held by the engineer been suspended or revoked within the last 5 years? (0 – 5 Points)
 Yes No

If "yes," explain on a separate signed sheet.

6. Has the engineer changed names or license numbers within the past 5 years? (Not Scored)

___ Yes ___ No

If "yes," explain on a separate signed sheet.

7. How many years has your organization been in business in California as an engineer under your present business name and license number? (0 – 5 Points)

D. Mechanical, Electrical, or Plumbing Contractor(s)

If utilized in the design of the project, respond for all mechanical electrical, or plumbing ("MEP") contractors. If relevant, use additional signed sheets to respond for multiple MEP contractors.

1. Name of license holder exactly as on file with the Contractors State License Board ("CSLB"): _____ (Pass/Fail)

2. License classification(s): _____ (Not Scored)

3. License #: _____ (Not Scored)

4. Issue Date: _____ (Not Scored)

5. Expiration Date: _____ (Not Scored)

6. Public Works Contractor Registration # on file with the Department of Industrial Relations ("DIR"): _____ (Pass Fail)

7. Has any CSLB license held by the MEP contractor or its qualifying individual been suspended or revoked within the last 5 years? (0 – 5 Points)

___ Yes ___ No

If "yes," explain on a separate signed sheet.

8. Has the general contractor changed names or license numbers within the past 5 years? (Pass/Fail)

___ Yes ___ No

If "yes," explain on a separate signed sheet.

9. How many years has your organization been in business in California as a contractor under your present business name and license number? (0 – 5 Points)

III. PERFORMANCE HISTORY

1. Has DBE or any Member or Associate ever been found liable in a civil suit, or found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity? (-5 to 5 Points)

Yes No

If "yes," explain on a separate signed sheet, including identifying who was found liable or guilty, the court and case number, the name of the public entity, the civil or criminal verdict, the date, and the basis for the finding.

2. Has DBE or any Member or Associate ever been convicted of a crime involving any federal, state, or local law related to construction or any crime involving fraud, theft, or any other act of dishonesty? (-5 to 5 Points)

Yes No

If "yes," explain on a separate signed sheet, including identifying who was convicted, the name of the victim, the date of the conviction, the court and case number, the crimes, and the grounds for the conviction.

If "yes," explain on a separate signed sheet, including the project, owner, owner's address, date of completion, and amount of liquidated damages.

3. At any time in the last 5 years, has DBE or any Member or Associate been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any public works project? (Pass/Fail)

Yes No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the action.

4. At any time in the last 5 years, has a public agency found that DBE or any Member was not a responsible bidder? (0 - 5 Points)

Yes No

If "yes," explain on a separate signed sheet, including the project, the year of the event, owner, owner's address, and basis for the finding.

5. In the past 5 years, has any claim exceeding \$50,000 been filed by or against DBE or any Member in court or arbitration concerning work or payment on a construction project? (0 - 5 Points)

Yes No

If "yes," explain on a separate signed sheet, including the project name, court or arbitration case name and number, and a brief description of the status of the claim.

6. In the past 5 years, has there been more than one occasion in which DBE or any DBE member was required to pay either back wages or penalties for failure to comply with California prevailing wage laws or federal Davis-Bacon prevailing wage requirements? (Pass/Fail)

___ Yes ___ No

If "yes," explain on a separate signed sheet, describing the nature of the violation(s), project, owner, and amount paid, if any.

7. At any time during the past 5 years, has DBE or any Member been found to have violated any provision of California apprenticeship laws or regulations, or laws pertaining to use of apprentices on public works projects? (Pass/Fail)

___ Yes ___ No

If "yes," explain on a separate signed sheet, including date(s) of such findings and attaching the DAS' final decision(s).

8. In the past seven years, has your firm made any claim against a project owner concerning work on a project or payment for a contract, and filed that claim in court or arbitration? If so, how many? (0 – 5 Points)

___ Yes ___ No

9. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. (0 – 5 Points)
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IV. BONDS AND INSURANCE

A. Bonds

1. Attach a notarized statement from an admitted surety insurer (approved by the California Department of Insurance and authorized to issue bonds in the State of California), which states the current bonding capacity of the DBE (both single job limit and aggregate limit). Note: DBE must have capacity to provide 100% payment bond and 100% performance bond, each issued by an admitted surety insurer, without bonding subcontractors.
2. Provide the name, address, and telephone number of the surety agent: _____

3. List all sureties that have written bonds to the DBE or any Member during the last 5 years:

Name	Address	Date of Bond
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4. In the last 5 years, has any surety paid on behalf of the DBE or any Member a result of a default to satisfy any claims made against a payment or performance bond? (0 - 5 Points)

Yes No

If "yes," explain on a separate signed sheet, including the amount of each claim, name and telephone number of claimant, date of and grounds for the claim, and present status.

5. If DBE or any Member was required to pay a premium of more than 1 percent for a performance and payment bond on any project in the last 5 years, state the percentage (0 -5 Points): _____

Explain on a separate signed sheet why DBE or Member was required to pay the premium of more than 1 percent.

6. In the last 5 years, has DBE or any Member been denied bond coverage by a surety company or had no surety bond in place when one was required? (0 -5 Points)

Yes No

If "yes," explain on a separate signed sheet, including the name of the surety company and/or period during which DBE or Member had no bond in place.

B. Insurance

1. Does DBE have liability insurance with a policy limit of at least \$2,000,000 per occurrence and \$4,000,000 aggregate for a California admitted company? (Pass/Fail)

Yes No

If "no," provide on a separate signed sheet what policy limits are available to DBE.

2. Does DBE have current workers' compensation insurance as required by the California Labor Code or is DBE legally self-insured pursuant the California Labor Code?

3. Does DBE have professional liability (errors and omissions) insurance with a policy limit of at least \$2,000,000 aggregate from a California admitted company? (Pass/Fail)

Yes No

If "no," provide on a separate signed sheet what policy limits are available to DBE.

- In the last 5 years, has any insurance carrier, for any form of insurance, refused to renew an insurance policy for DBE or any Member?

Yes No

If "yes," explain on a separate signed sheet, including the name of the insurance carrier, form of insurance, and year of the refusal.

V. SAFETY

- Attach a description, not to exceed 1 page, of DBE's worker safety program as applicable to this project.
- Within the past 5 years, has the California or federal Occupation Safety and Health Administration ("OSHA") cited and assessed penalties against DBE or any Member, for "serious," "willful" or "repeat" violations of its safety or health regulations? If yes, how many times? (0 – 5 Points)

Yes No

If "yes," explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

- Within the past 5 years, has the Environmental Protection Agency ("EPA") or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against DBE or any Member or the owner of the project on which DBE/Member was the contractor? If yes, how many times? (0 – 5 Points)

Yes No

If "yes," explain on a separate signed sheet, identifying the citation(s), nature of the violation(s), project, and amount of penalty paid, if any.

- State the Workers' Compensation Experience Modification Rate ("EMR") for DBE and each Member for the past 3 premium years. A bidder's "safety record" shall be deemed "acceptable" if its experience modification rate for the most recent three-year period is an average of 1.00 or less, and its average total record-able injury or illness rate and average lost work rate for the most recent three-year period does not exceed the applicable statistical standards for its business category, or if the bidder is a party to an alternative dispute resolution system as provided for in Section 3201.5 of the Labor Code (0 – 5 Points) :

Year	EMR

If EMR was 1.00 or higher in any of 3 years, attach a letter of explanation.

- State the total recordable injury or illness rate and the lost work rate for DBE and each Member for the past 3 years:

Year	Incident Rate	Lost Work Rate

- Within the past 5 years, has there ever been a period when DBE or any Member had employees but was without workers' compensation insurance or state-approved self-insurance? (0 -5 Points)

Yes No

If "yes," explain on separate signed sheet, including the date(s) and reason(s) for the absence of workers' compensation insurance.

- At any time during the last seven years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on government construction projects? If yes, how many times? (0 -5 points)

Yes No

VI. PROJECT EXPERIENCE AND REFERENCES

- How many design-build projects have the general contractor and architect of record involved in this DBE completed together? (0 - 5 Points) _____
- For the completed design-build projects identified in the preceding answer, state (Not Scored):
 - Total dollar value of all contracts: _____
 - Dollar value of single largest contract: _____
- Complete the project reference form attached hereto as **Exhibit B-1**. District may, in its discretion, contact project references.
- Attach resumes or similar documents, not to exceed 1 page each, showing the experience, training, and qualifications for up to 6 proposed key personnel of the DBE.
- Within the past seven (7) years, how many Substation or Similar Infrastructure Projects has your organization contracted for and completed a minimum of 75% or more of the actual construction work? (0 - 5 points): _____

6. Within the past seven (7) years, how many K-12, Community College, Public University or other Public Works projects with an original construction contract value of \$5 million or more has your firm contracted for and completed at a minimum 75% or more of the actual construction work? (0 – 5 points): _____
7. Within the past seven (7) years, how many DSA (Division of the State Architect) projects with an original construction contract value of \$5 million or more has your firm contracted for and completed at a minimum 75% or more of the actual construction? (0 -5 Points): _____
8. Within the past seven (7) years, how many Design-Build Projects has your organization delivered under DSA (Division of the State Architect)? (0 -5 points): _____

VII. SKILLED AND TRAINED WORKFORCE COMPLIANCE

1. By this submittal, DBE hereby acknowledges, agrees, and provides an enforceable commitment to District that:
 - a. DBE and its subcontractors at every tier will use a skilled and trained workforce to perform all work on the project or contract that falls within an apprenticeable occupation in the building and construction trades, in accordance with Public Contract Code section 2600 et seq.; or
 - b. DBE has agreed to be bound by: (i) a project labor agreement (“PLA”) or project stabilization agreement (“PSA”) entered into by the District that will bind all contractors and subcontractors performing work on the project to use a skilled and trained workforce; (ii) the extension or renewal of a PLA or PSA that was entered into by the District prior to January 1, 2017; or (iii) a PLA or PSA entered into by the DBE that will bind the DBE and all its subcontractors at every tier performing work on the project to use a skilled and trained workforce.

[CERTIFICATION ON NEXT PAGE]

VIII. CERTIFICATION

DBE and all Members must sign. Copy this certification page as needed for each Member.

I certify and declare that I have read all the foregoing answers to this prequalification template and that all answers are correct and complete of my own knowledge and belief. I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Date: _____, 20__

Name of DBE or Member: _____

Signature by authorized individual: _____

Print Name: _____

Title: _____

EXHIBIT B-1

Design-Build Project References

List the three most recent design-build projects, each with a contract price over \$5 million, completed by the general contractor for the DBE. The projects may be public or private. Contact information must be current and viable. (Optional Excel Sheet provided as supplement for Exhibit B-1)

1. Project Name: _____

2. Project Address: _____

3. Owner (name and tel. #): _____

4. Architect (name and tel. #): _____

5. Construction Manager (name and tel. #): _____

6. Scope of Work: _____

7. Original Completion Date: _____

8. Actual Complete Date: _____

9. Time Extensions Granted (# of days): _____

10. Initial Contract Value: _____

11. Final Contract Value: _____

Date: _____, 20__

Signature by authorized individual: _____

Print Name: _____

Title: _____